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SCHOOLS FORUM

Meeting to be held from 17:30 on Wednesday 17 January 2018

Venue: Chace Community School, Churchbury Lane, Enfield, EN1 3HQ

(NOTE: Sangeeta Brown, Resources Development Manager - 07956 539613)

Schools Members:

Governors: Ms Ellerby (Primary), Mrs J Leach (Special), Mrs L Sless (Primary),
Mr T McGee (Secondary), Vacancies (Primary x 2)

Headteachers: Ms H Thomas (Primary) (Chair), Ms H Ballantine (Primary), Mr
D Bruton (Secondary), Ms M Hurst (Pupil Referral Unit), Ms H
Knightley (Primary), Ms K Baptiste (Primary), Ms G Weir (Special), Ms
M O'Keefe / Ms T Day (Secondary)

Academies: Ms L Dawes, Ms A Nicou, Mr Sadgrove

Non-Schools Members:

16 - 19 Partnership	Mr K Hintz
Early Years Provider	Ms A Palmer
Teachers' Committee	Mr J Jacobs
Education Professional	Ms C Seery
Head of Behaviour Support	Ms J Fear
Overview and Scrutiny Committee	Tbc

Observers:

Cabinet Member	Cllr A Orhan
School Business Manager	Ms A Homer
Education Funding Agency	Mr Owen

MEMBERS ARE INVITED TO ARRIVE AT 17:15
WHEN SANDWICHES WILL BE PROVIDED
ENABLING A PROMPT START AT 17:30

AGENDA

1. APOLOGIES FOR ABSENCE AND MEMBERSHIP

Note:

- a) Apologies received from Ms Hurst, Ms Dawes
- b) Reported that the Member Governor Forum had nominated Ms Helen Kacouri, Westgrove Primary School and Ms Verena West, Fleecefield Primary School.

The Forum is asked to confirm the nominations and welcome Ms Kacouri and Ms West.

2. DECLARATION OF INTEREST

Members are invited to identify any personal or prejudicial interests relevant to items on the agenda. A definition of personal and prejudicial interests has been attached for members' information.

3. MINUTES AND MATTERS ARISING FROM THE MINUTES (Pages 1 - 6)

- (a) School Forum meetings held on 13 December 2017 (*attached*)
- (b) Matters arising from these minutes.

4. LOCAL AUTHORITY FUNDING

Cllr Taylor, Leader of the Council to attend

5. ITEM FOR DISCUSSION AND/OR DECISION (Pages 7 - 22)

- (a) Schools Budget 2017/18 – Monitoring (*attached*)
- (b) Schools Budget 2018/19 – Update (*attached*)
- (c) Scheme for Financing Maintained Schools

6. WORKPLAN (Pages 23 - 24)

7. ANY OTHER BUSINESS

8. FUTURE MEETINGS

(a) Date of next meeting is Wednesday 7 March 2018 at 5.30pm at Chace Community School;

(b) Dates of future meetings:

- 9 May 2018 (Provisional)
- 11 July 2018
- 3 October 2018
- 12 December 2018
- 16 January 2019
- 6 March 2019
- 15 May 2019 (Provisional)

9. CONFIDENTIALITY

To consider which items should be treated as confidential.

Schools Forum Membership List

Name		Sector	Organisation	Member / Sub Since	End of Term
Ms J Ellerby	G	P	Eldon	Autumn 2015	Summer 2019
Mrs J Leach	G	Sp	Waverley	Autumn 2015	Summer 2019
Mrs L Sless	G	P	Galliard	Autumn 2015	Summer 2019
Mr T McGee	G	S	Highlands	Spring 2016	Autumn 2020
Vacancy	G	P			
Vacancy	G	P			
Ms M Hurst	H	PRU	Enfield Sec Tuition Centre	Req'd - July 2014	
Ms H Ballantine	H	P	George Spicer	Autumn 2015	Summer 2019
Ms H Knightley	H	P	St Johns & St James	Autumn 2015	Summer 2019
Ms H Thomas	H	P	Alma	Autumn 2015	Summer 2019
Ms K Baptiste	H	P	St Monica's	Autumn 2017	Summer 2021
Mr D Bruton	H	S	Chace Community	Summer 2016	Spring 2020
Ms G Weir	H	Sp	Waverley	Summer 2017	Spring 2021
Ms T Day / Ms M O'Keefe	H	S	Bishop Stopford's St Ignatius	Autumn 2017	Summer 2021
Ms L Dawes	H	A	Oasis Hadley	Spring 2016	Autumn 2020
Ms A Nicou	H	A	Enfield Learning Trust	Autumn 2015	Summer 2019
Mr P Sadgrove	H	A	One Degree	Summer 2017	Spring 2021
Ms A Palmer		EY	Right Start Montessori	Autumn 2017	Summer 2021
Mr K Hintz		P16	CONEL	Autumn 2015	Summer 2019
Mr J Jacobs		All	National Education Union	Summer 2017	Spring 2021
Ms J Fear		All	Local Authority	By Appointment	
Ms C Seery		All	Local Authority	By Appointment	
Vacancy		All	Chair of Overview & Scrutiny	By Appointment	
Cllr Orhan	O	All	Cabinet Member	By Appointment	
Ms A Homer	O	All	Prince of Wales	Summer 2015	Spring 2019
Mr O Jenkins	O	All	EFA	By Appointment	

Key

G – Governor
 H – Headteacher
 O - Observer
 P – Primary
 S – Secondary
 Sp – Special
 Ac – Academy
 EY – Early Years
 P16 – Post 16

MINUTES OF THE SCHOOLS FORUM MEETING**Held on Wednesday 13 December 2017 at Chace Community School****Schools Members:**

Governors: Mrs J Ellerby (Primary), (Primary), Mrs J Leach (Special), Mrs L Sless (Primary),
Mr T McGee (Secondary), Vacancies x 2 (Primary)

Headteachers: Ms H Thomas (Primary) (Chair), Ms H Ballantine (Primary), *Mr D Bruton (Secondary), Ms M Hurst (Pupil Referral Unit), Ms H Knightley (Primary), Ms K Baptiste (Primary)* – substituted by Ms L Whitaker (Primary), Ms G Weir (Special), Ms M O'Keefe / *Ms T Day* (Secondary)

Academies: *Ms L Dawes (Secondary), Ms A Nicou, Mr A Sadgrove*

Non-Schools Members:

Early Years Provider	Ms A Palmer
16 - 19 Partnership	<i>Mr K Hintz</i>
Teachers' Committee	Mr J Jacobs
Head of Behaviour Support	Ms C Seery – substituted by Ms D Weston
Education Professional	Ms J Fear
Chair of Overview and Scrutiny Committee	Vacancy

Observers:

Cabinet Member	<i>Cllr A Orhan</i>
School Business Manager	Ms A Homer
Education Funding Agency	<i>Mr O Jenkins</i>

Also attending:

Assistant Director, Education	Mr J Carrick
Assistant Finance Business Partner	Mrs L McNamara
Resources Development Manager	Mrs S Brown
Resources Development Officer	Ms J Bedford

* Italics denote absence

1. MEMBERSHIP AND APOLOGIES FOR ABSENCE**a) Apologies for Absence**

Apologies for absence were received from Mr Bruton, Ms Dawes, Ms Hurst, Ms Baptiste, Mr Sadgrove and Ms Nicou.

Noted Ms Baptiste was substituted by Ms Whitaker and Ms Seery by Ms Weston.

Reported:

- The secondary Headteachers had nominated three Headteachers for the two vacant secondary headteacher positions on the Forum. The three secondary Headteachers would share the role and attend the meetings on a rotational basis;
- At the Member Governor Forum meeting, two primary governors had expressed an interest in joining the Forum as governor representatives, but there were no further updates.

Resolved Mrs Sless would pursue and confirm if the two governors were still interested in being on the Forum.

Action: Mrs Sless**2. DECLARATIONS OF INTEREST**

Mrs Leach declared an interest in the agenda item for Joint Service for Disabled Children with Special Needs.

3. MINUTES OF THE LAST MEETING**(a) Meeting of 6 November 2017**

- (i) **Received** and agreed with the following correction to the minutes of the meeting of the Schools Forum held 6 November 2017, a copy of which is in the minute book.

Reported that minute should read that Ms Kate Osamor, MP had not been proactive or engaged in the campaign to address the funding crisis facing school and the one known involvement she had had was a photo opportunity at a school in her constituency.

It was commented that there was an understanding, where appropriate, communication should only be sent to the constituency MP and not all MPs in the borough.

Resolved information on the financial difficulties facing schools would be reviewed and forwarded to Ms Osamor and / or the other local MPs.

Action: Chair

Clerks Note: Mr McGee arrived at this point.

(b) Education Resources Group Meeting of 31 October 2017

Received minutes of the Education Resources Group meeting held on 31 October 2017, a copy of which is in the minute book.

4. ITEM FOR DISCUSSION & INFORMATION

a) School Budget 2017/18 – Monitoring Update

Received a report with the latest financial projections for the Schools Budget - 2017/18, a copy of which is in the Minute book.

Reported since the last meeting, there had been no change on the amount of DSG available, but the projected overspend had reduced by £50k to £4.5m.

Noted:

- i) The reduction in the overspend was the net effect of:
 - a slight reduction in the funding required for pupils placed in out borough provision,
 - funding required to support new developments at St Marys,
 - an increase in the rate liability for a delay in Lea Valley High School converting to an academy,
 - a delay in the Parent Support Service being able to make the savings required to meet the budget cuts implemented for 2017/18.
- ii) The Forum was required under the regulations to agree to the carry forward of any over or underspends on the DSG. It was stated that the Forum would continue to be provided with regular reports with the latest projections.

The Forum noted the report and the projected overspend.

b) School Funding Arrangements – 2018/19: Responses to Consultation

Received a report detailing the responses received to the proposals for the school funding arrangements for 2018/19; a copy is included in the Minute Book.

Reported following the responses received, the final proposals for the funding arrangements for 2018/19 were outlined in the report. The proposals were in line with the options discussed at the last Forum meeting.

Noted

- i) In response to a query on the low number of responses received, it was stated that the briefing to Headteachers on the proposals had been well attended and the response rate was in line with responses received for previous consultation.

It was commented that this had been discussed at the Education Resources Group. The view of the Group was that Headteachers had appreciated the information and the briefing and were of the view the preferred model for school funding was likely to be implemented.
- ii) Based on the responses, comments received and the Forum's view at the last meeting, it was proposed, subject to resources being available, Model C would be implemented for the local funding formula for mainstream schools.

- iii) The proposals being presented were not based on the total number of responses for each proposal, but on the actual number affected by each proposal.
- iv) In response to a query as to whether the recommendation for the Inclusion Fund addressed the comments raised by the respondent. It was stated that the comments were assessed and the proposals had been amended to reduce the number of Educational Psychologists and increase the number of Area SENCOs to support early years settings.

It was commented that providers had not received and were not aware of the consultation document until it was raised at the Early Years Forum meeting and had two days to respond. It was stated that the proposals were discussed at the November meeting of the Forum, there were two PVI representatives present at this meeting, and the Schools Forum papers were available on the Enfield Website. The consultation document was circulated to all key stakeholders at the same time, but this would matter be investigated.

There was still a concern whether the proposed Education Psychologists would provide the support required by settings. The pressure facing Early Years settings was immense due to the increasing number of pupils with SEND requiring support. This pressure was increased because of limited funding and support available for two year olds with SEND.

Clerks Note: Ms Weir arrived at this point.

- v) There was some discussion on the proposed posts to be funded from the Inclusion Fund and clarity was sought on whether the posts were full or part time and would support all settings; why the rate used to fund additional support for pupils with SEND was set at £4.59 and if this rate was used for all settings. It was remarked £4.59 was not sufficient to obtain additional support.

It was stated that the proposals and arrangements were the same for all settings delivering the free nursery entitlement. The final information on the Budget Settlement was still awaited and this should be available for the next meeting, at which point the Forum will be provided with further information. All proposals, for the coming year, would be subject of a review during the year.

- vi) The Education Resources Group was a Headteacher consultative group and included representatives from schools providing the free nursery entitlement.

Resolved to note the views of the Forum and provide further updates, feedback and information on the Budget position and early years inclusion fund at the next meeting.

Action: Mrs McNamara and Mrs Brown

c) Schools Budget: Update 2018-19

Received a report providing the known information on the School Budget for 2018/19, a copy is included in the Minute Book.

Reported the information presented was based on data from the October Census, but the final allocation would not be known until the Government formally announced the DSG funding settlement for 2018/19 next week.

Noted:

- (i) As reported earlier in the meeting, the DSG overspend would be carried forward into 2018/19 and omitting this, the estimated DSG expected totalled £327.6m. The change in funding was due to an increase in pupil numbers.

It was noted that there was a net increase of 326 pupils and this increase masked, after a number of years, a drop of 24 pupils in the primary sector. As well as the general decline in pupil numbers, maintained primary school saw a further decrease in pupil numbers and new primary academies and free school a corresponding increase. More than 80% of the secondary pupil number increase was seen in new or growing academies and free schools. It was confirmed that officers were working to identify the

reasons for the changes in pupil numbers and whether this was an issue for this year or an on-going trend.

- (ii) The proposals included a reduced Growth Fund of £800k to support new or expanding schools and following consultation the transfer of 0.5% (approximately £1.28m) from the Schools to the High Needs block to support schools with above average numbers of pupils with SEND and EHCPs. It was confirmed the reduced growth fund reflected most new or growing schools moving to receive their funding through the local funding formula.
- (iii) In trying to achieve an in-year balanced position, a minimal contingency for new pupils with EHCPs requiring support had been allowed and consequently a risk it would create a budget pressure during the year.
- (iv) The proposal to continue to provide the current de-delegated central services to maintained schools.
- (v) It was questioned how schools with tight budgets or financial difficulties would be supported. It was stated that this would be covered by an item later on the agenda.
- (vi) There was a need to submit a request to dis-apply the minimum funding guarantee for all through schools. This was to ensure that these schools received the correct funding for the primary pupils and not the higher rates used for secondary aged pupils.

Resolved:

- (i) The Forum agreed to:
 - A Growth Fund of £0.8m for 2018/19 to be funded from the Schools Block;
 - Transfer of 0.5% from the Schools Block to the High Needs Block to support the current arrangements for schools with above average numbers of pupils with SEND and EHCPs costing above £6k;
 - Support the Authority's MFG disapplication request for all through schools to receive the correct funding rates for primary and secondary pupils.
- (ii) The maintained schools representative agreed to continue fund the de-delegated services at the 2017/18 level.

d) Central Schools Services Block (CSSB) and Further De-delegation for 2018-19

Received a report summarising the services to be funded from the CSSB and a request for de-delegation of two new services for 2018-19, a copy of the report is included in the Minute Book.

Reported the DfE had created a new CSSB by transferring funding for central services previously funded from the Schools block to the new block. The services included were statutory duties previously provided through the Education Services Grant (ESG) and other historical commitments.

Noted

- i) The DfE were planning to fund the statutory duties based on a national funding formula (NFF). The NFF was based on an amount per pupil and an amount for pupils eligible for FMS. Based on the indicative information provided by the DfE, it was expected funding for statutory duties would reduce by £53k. To manage the reduction, the Authority was planning to cut funding for management and support by £14k and, due to the number of schools converting to academies, to cut funding for the Appeal Service by £30k.
It was confirmed that the reduction in funding for management and support would lead to a member of staff being made redundant.
- ii) There was no change to the funding provided for historical commitments. Due to uncertainty of the on-going effect of the transfer any funding, it was proposed to continue to fund these services at the same level as 2017/18.
- iii) The maintained schools representatives were asked to consider de-delegating funding to enable the Authority to support schools to meet the requirements of the General Data

Protection Regulations (GDPR). The reasons for this request were that the Regulations were a mandatory requirement and schools needed to have arrangements in place to ensure they could meet the requirements of the Regulations when they came into force on 25 May 2018. It was stated that the costs were high in the first year because of the scarcity of employing appropriate staff to support the implementation.

It was confirmed that the de-delegation included all maintained schools and a traded service would be available for academies and free schools to purchase. The service for all schools, academies and free schools would include training.

- iv) Further de-delegation was requested for schools in financial difficulties. The Forum were advised with the cessation of the ESG, the Authority was continuing to support the retained duties for maintained schools previously funded from the ESG, and now gaps in support were being seen, especially supporting schools in financial difficulties; for this reason, it was requested an amount be identified to support schools in this position.

It was questioned how the funding would be allocated and for what purpose. It was stated that previously funding had been provided for similar purposes and a sub-group of the Forum considered and agreed any request for funding. The sub-group had agreed funding to improve IT infrastructure and other school improvements.

There was a discussion of the need to have a rigorous and robust process in place and for the terms of reference to be amended and circulated to reflect this. There was a consensus that the funding should only be provided where the need and outcomes to be achieved were clear. It was suggested that if the de-delegation were agreed, then the summer term Schools Forum meeting would consider circumstances when funding would be allocated.

Resolved:

- i) The Schools Forum agreed to the services and amounts to be funded from the Central Services Schools block.
- ii) The maintain schools representatives agreed to de-delegating funding:
 - For GDPR
 - Schools in financial difficulties: The use and conditions for use of this fund will be the subject of discussion at the summer term meeting.

Action: Mrs Brown

5. DfE Consultation: Eligibility for Free School Meals (FSM) under Universal Credit

Received a papers and draft response to the DfE Consultation: Eligibility for FSM under Universal Credit, a copy of which is included in the Minute Book.

Reported the DfE had published a consultation document outlining their arrangements for calculating eligibility for FSM and the Early Years pupil premium following roll out of Universal Credit. The Forum was advised Universal Credit pulled together all benefits and allowances into a single credit and it was proposed that FSM eligibility would be measured not against these benefits or allowances, but on annual net earnings in a household. The new arrangements were due to be implemented from April 2018 where Universal Credit had already been rolled out then there was some protection for pupils currently eligible for FSM.

Noted:

- i) From the proposals, it appeared the threshold for eligibility reduced from £16,190 to £7,400 per annum based on net earnings excluding benefit payments.
 - ii) The changes continued to result in less pupils being eligible for FSM.
- Following a discussion, the Forum was concerned of the impact the changes would have for pupils and families living in poverty and these included:
- The changes to eligibility did not supporting pupils living on the threshold of poverty. It was acknowledged that pupils currently receiving FSM would have some protection, but this did not help the impact the changes would have for new pupils;

- Reducing the threshold for FSM eligibility would result in a loss of pupil premium for schools;

iii) The deadline for responding to the Consultation was 11 January 2018.

Resolved the consultation document and draft response would be circulated to all Headteachers and managers of Early Years Sector.

Action: Mrs Brown

6. WORKPLAN

Any additional items arising from the meeting would be added to the workplan.

Action: Mrs Brown

7. FUTURE MEETINGS

a) The date of the next meeting was set as Wednesday 17 January 2018 at 17:30 at Chace Community School

b) Dates for future meetings:

- 07 March 2018 17:30 - 19:30
- 09 May 2018 (Provisional) 17:30 - 19:30
- 11 July 2018 (Provisional) 17:30 – 19:30

8. CONFIDENTIALITY

No items were considered confidential.

The meeting closed at 19:20

MUNICIPAL YEAR 2017/2018 REPORT NO. 23

MEETING TITLE AND DATE:

Education Resources Group 11 January 2018
Schools Forum 17 January 2018

Agenda –

Item: 5a

**Subject: Schools Budget -
2017/18 Monitoring Update**

REPORT OF:

Executive Director of Finance, Resources & Customer Services

Contact officer and telephone number:

Louise McNamara 020 8379 4720

E mail: louise.mcnamara@enfield.gov.uk

1. EXECUTIVE SUMMARY

1.1. This report provides an update of the DSG budget monitoring position for 2017/18.

2. RECOMMENDATIONS

2.1 To note the contents of the report.

3. 2017/18 DSG ALLOCATION

3.1 DSG 2017/18

In November 2017, we received a revised DSG allocation for 2017/18 but the total allocation has not changed and the gross allocation remains at £318.679.

3.2 Academies Recoupment

The 2017/18 DSG allocation will be reduced in cash terms to reflect recoupment for academies. This figure has increased from an initial adjustment of £76.438m (based on academies as at 01 April 2017) to £80.378m to reflect the 3 primary schools that converted during the Autumn term. This adjustment has a nil effect on the overall the school's budget position as a reduction in income is matched by a reduction in expenditure.

4. 2017/18 DSG Budget Monitor

Appendix A details the DSG budget monitoring position as at the end of November 2017.

4.1 Schools Block

There are projected underspends in the Schools Block. These relate to the Growth Fund, where the additional classes required for the 1718 academic year are lower than expected, and rates where there will be reduced demand on the DSG for schools converting to academy status as they will be entitled to 80% charitable relief.

4.2 Early Years Block

At this stage of the financial year, it is assumed that any under/overspends within the various areas of early years will be contained within the Early Years Block funding.

Officers will be reviewing January census information as soon as this is available as this will provide a good indication of spring term costs and whether these will be accommodated within the overall 1718 funding allocation. This data will also give an indication of whether any retrospective adjustment for 1718 funding will be made in 1819 for the autumn and spring terms so that this can be built into future budget planning.

4.3 High Needs

The monthly SEN monitoring process has included a contingency to allow for new or changed placements for the remainder of the financial year. Now that we are nearing the end of the financial year the contingency has been removed as there is lower risk of high additional costs. This has resulted in a net reduction of £860k in the projected cost of outborough provision and an overall high needs block reduction of £829k. The budget will continue to be closely monitored for the remaining 3 months of the year as any new placements will impact on the projected year end position.

An exercise is currently being completed to review the reserves submitted at the end of 2016/17 and joint funded placements to ensure that these are accurately reflected in monthly monitoring and outturn forecasts.

The reduction in high needs overspend has reduced the projected DSG overspend for 2018/19 from £4.5m to £3.7m.

5. DSG Outturn Position

Based on the latest monitoring position and the ongoing and additional pressures identified above, the 2017/18 DSG allocation is anticipated to be significantly overspent by the end of the financial year. As previously reported, the school funding regulations governing the DSG Conditions of Grant would apply and any deficit in would be the first call on the 2018/19 DSG budget and this would need to be agreed by Schools Forum.

The 2017/18 budget will be monitored closely for the remainder of the financial year monthly and updates will be provided to the Forum at future meetings.

DSG Budget Monitor 2017/18**Appendix A**

	July Monitor SF Sept 17	Sept Monitor SF Nov 17	Oct Monitor SF Dec 17	Nov Monitor SF Jan 18	variance
Opening Position 2017/18	£000	£000	£000	£000	£000
2016/17 DSG Deficit	3,197	3,197	3,197	3,197	-
SEN Review Grant	163	163	163	163	-
NET DSG Deficit 2016/17	3,360	3,360	3,360	3,360	-
Estimated 2016/17 overspend top sliced from 2017/18	- 1,457	- 1,457	- 1,457	- 1,457	-
DSG Deficit 1/4/2017	1,903	1,903	1,903	1,903	-
High Needs Contingency 2017/18 Budget	- 1,650	- 1,650	- 1,650	- 1,650	-
NET DSG Deficit b/f 2017/18	253	253	253	253	-

2017/18 Variance	£000	£000	£000	£000	£000
SCHOOLS BLOCK					
Growth Fund - allocations lower than estimate	-120	-120	-120	-120	0
Rates - reduction in rates liability due to Academy conversion	-167	-148	-107	-131	-24
Total Schools Block Variance	-287	-268	-227	-251	-24
EARLY YEARS BLOCK					
2 Year Olds	-48	-48	-48	-48	0
3&4 Year Olds	18	18	18	18	0
30 Hours (position re underspend to be confirmed)	-1542	-622	-622	-622	0
1617 Clawback	652	652	652	652	0
Total Early Years Block Variance	-920	0	0	0	0
HIGH NEEDS BLOCK					
Outborough Provision					
Independent Day Placements	2186	2770	2786	2167	- 619
Independent Residential Placements	279	305	158	167	9
Other LA Special Schools	373	567	520	258	- 262
Other LA Mainstream Support	39	1	-31	-68	- 37
Therapies	0	52	52	52	- 0
Post 16 High Needs	0	0	0	49	49
In Borough Provision					
West Lea - additional 30 places wef Sept 2017	175	175	175	175	-
Exceptional Needs - Transition Fund	400	400	400	400	-
Exceptional Needs - estimated termly adjustments	400	400	400	400	-
Behaviour Support Service	47	-100	-100	-41	59
Russet House - St Marys Provision wef Feb18	0	0	87	87	-
Parent Support Service	0	0	31	3	- 28
Total High Needs Block Variance	3,899	4,570	4,479	3,649	- 830
ESTIMATED OVERSPEND 2017/18	2,692	4,302	4,252	3,398	- 854
Cumulative Deficit b/f	253	253	253	253	-
Estimated DSG Monitoring Position 2017/18	2,945	4,555	4,505	3,651	- 854

NB - This assumes that any 30 hours is returned to EFA LA

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MUNICIPAL YEAR 2017/18 REPORT NO. 24**MEETING TITLE AND DATE:**

Education Resources Group 11th Jan 2018 &
Schools Forum 17th Jan 2018

REPORT of:

**Executive Director of Finance, Resources &
Customer Services**

Contact officer and telephone number:

Louise McNamara 0208 379 4720

E-mail: Louise.McNamara@enfield.gov.uk

Agenda – Part: 1	Item: 5b
Subject: Schools Budget – 2018-19: Update	

1. EXECUTIVE SUMMARY

This report sets out details of the initial 2018/19 Dedicated Schools Grant (DSG) allocation which was announced on 20th December 2017, together with the October 2017 dataset to be used to calculate formula budget shares for primary and secondary schools.

The report provides updated information on the proposed budget position for 2018/19 for each of the funding blocks. The report also provides information on the proposed schools funding formula will be submitted to the DfE on 19th January 2018 for approval.

The report seeks the approval of Schools Forum to finalise the unit values for the primary and secondary funding formula

2. RECOMMENDATIONS

The Schools Forum is asked to note and agree the unit values for the primary and secondary funding formula as set out in Appendix C

The Schools Forum is asked to note

- The 2018/19 DSG settlement and draft budget set out in Appendix B
- The formula allocations to schools based on the proposed formula factors set out in Appendix D

3. Dedicated Schools Grant (DSG) Settlement 2018/19

As reported at previous meetings, the Government has confirmed that they will continue with their proposal to implement a National Funding Formula (NFF) for the Schools and High Needs Blocks and the creation of a Central Services Schools Block. DfE Guidance for 2018/19 has confirmed that a 'soft' NFF will be implemented for 2018/19 with the intention to move to a 'hard' NFF from 2020/21. By implementing a 'soft' NFF in 2018/19, the funding provided to local authorities has been calculated using the NFF but authorities have some local flexibility regarding the distribution of these funds.

Enfield's initial 2018/19 DSG settlement was announced on 20th December 2017 at £331.541m. Of the High Needs Block allocation, £2.118m will be provided direct by the Education Funding Agency to fund post 16 places in special schools and places in

mainstream academy units and academy special schools. Table 1 below summarises the 2018/19 DSG Allocation.

Table 1: DSG Allocation 2018/19

Block	Indicative 1819	Actual 1819	Variance
	£000	£000	£000
Schools	254.35	255.797	1.447
Central Services	2.962	2.972	0.010
Early Years	24.662	26.955	2.293
High Needs	45.641	45.817	0.176
TOTAL	327.615	331.541	3.926

An indicative 2018/19 DSG allocation was provided in the summer based on October 2016 data. The actual 2018/19 allocation reflects the October 2017 dataset. Schools Block funding has increased due to an overall increase in pupil numbers, mainly in relation to new and growing academies. The increase in Early Years Block funding relates to changes in pupil numbers and the full year effect of the 30 hours initiative which was introduced in September 2017.

Adjustments will be made to our DSG allocation during 2018/19 to reflect academy recoupment. The Early Years Block allocations for 2 and 3/4 year olds will be updated during 2018/19 to reflect January 2018 census data.

4.0 Draft Budget and Formula Factors 2018/19

4.1 Draft Budget

A draft budget has been produced based on the 2018/19 DSG settlement data and is shown in Appendix B. Due to change in funding arrangements for 2018/19 there is less flexibility regarding the movement of funding between blocks and, other than the agreed 0.5% transfer of funding from the Schools Block to the High Needs Block, expenditure will be contained within the relevant blocks.

4.2 Dataset 2018/19

At the last meeting, estimated census data was presented in relation to pupil numbers. The DfE dataset information, for October 2017, confirms that there has been a decrease in pupil numbers in primary and an increase in pupil numbers in secondary. There is an overall decrease in eligibility for free school meals. This data is shown in Tables 2 and 3 below.

Table 2: Pupil Number Data

Sector	GROSS Census Nos	ADD Academy Growth	NET Funded Pupil Nos
PRIMARY			
October 2016	32,333	184	32,517
Est October 2017	32,309	150	32,459
October 2017	32,296	150	32,446
Variance 2016 to 2017	-37	-34	-71
SECONDARY			
October 2016	18,160	175	18,335
Est October 2017	18,510	176	18,686
October 2017	18,445	176	18,621
Variance 2016 to 2017	285	1	286

Table3: FSM Data

	FSM Nos	Pupil Nos	% Eligibility
October 2016	8,579	50,852	16.9%
October 2017	8,315	51,067	16.3%
Variance	-264	215	-0.6%

4.3 Proposed Formula Factors and Unit Rates 2018/19

Formula factors for 2018/19 and associated unit values are set out in Appendix C. The NFF will allocate funding against all factors so for 2018/19 this has also been reflected in our local funding arrangements.

Following consultation, it was agreed to allocate funding on a model that moved approximately 50% towards NFF unit values and indicative funding allocations were calculated on this basis using October 2016 data. Following receipt of the October 2017 dataset, the formula has been recalculated on this basis. Due to changes in pupil numbers and other factors the planned unit rates did not utilise all the funding available so the unit values for prior attainment, Ever 6 FSM and IDACI reflect a higher percentage move towards NFF unit values as detailed in the Appendix C.

Key points to note with the new funding formula arrangements are

- No adjustment to pupil numbers for pupils in ARPs. Schools with units will now receive the first £4k funding for the number of ARP pupils on roll via the formula. The £6k balance of place funding and the Top Up will continue to come from the High Needs Block
- In addition to the move towards the NFF AWPU rates, £10.34 had been added to the AWPU to reflect new de-delegation for Support for Schools in Financial Difficulties and Data Protection (GDPR). This ensures a net nil effect for schools
- The formula includes a 0% Minimum Funding Guarantee and 3% funding cap. This means that for pupil led funding, schools will receive at least the same per pupil rate as 2017/18

Recommendation: Schools Forum is asked to note and agree:

- the formula factors and unit rates detailed in Appendix C which will be submitted to the DfE by their prescribed deadline of 19th January 2018.

4.4 Rates

The 2018/19 budget projections for rates reflect the full year effect of 2017/18 academy conversions, where rates liability is reduced to 20%. For all other schools, the budget reflects the 2017/18 actuals at this stage but we are hoping that information will be available from the Business Rates Team to enable us to update this information for the Schools Forum meeting.

4.5 Schools Formula Budget Shares

The application of the formula factors in Appendix C and the October 2017 dataset issued by the DfE in December produces the school's formula budget shares as set out in Appendix D. It should be noted that these figures exclude any allocations from the Growth Fund, Early Years or High Needs Blocks.

Following confirmation of the unit rates by the Schools Forum on 17th January 2018, indicative formula funding allocations for 2018/19 will be circulated to schools. This early notification will enable schools to develop and finalise their budget planning to address their key school development and improvement priorities and also known

budget pressures for the coming year. As well as the known budget pressures, schools will also now need to consider future and not confirmed pressures such as:

Table 4: Budget Pressures from 2018/19

Area	2018/19	2019/20	2020/21	2021/22
Teacher – Pay Award				
Teachers – Pension contributions				
Support Staff – Pay Award				
Support staff – Pension contributions	0.5%	0.4%	Subject of a review	

4.6 MFG Disapplication

In response to our application the EFA has confirmed that we can disapply the MFG for secondary schools who are becoming all through schools. This is to prevent the primary element of the school funding being protected at the secondary funding level. The adjustment will be based on an EFA calculation template which ensures that consistent methodology is applied between authorities.

We are awaiting the outcome of a second application to allow a 0.5% funding transfer from the Schools Block to the High Needs Block which was agreed at the last meeting but we are expecting this to be authorised.

5.0 Services provided by the Local Authority from De-Delegated Budgets

Table 4 details the services that are being offered for de-delegation in 2018/19. This approval for de-delegation is required on an annual basis and was agreed for these services at the December meeting.

Table 5: De-delegated Services 2018/19

Budget	Sector	Total Budget	Allocation per pupil / FSM *
		£	£
Licenses & Subs - CLEAPPS	Prim & Sec	6,128	0.12
Staff Advertising	Prim & Sec	15,320	0.30
Primary Pool	Primary	18,494	0.57
Union Duties	Prim & Sec	160,348	3.14
Free School Meals Eligibility	Prim & Sec	53,222	6.40
School Improvement	Primary	387,402	11.94
Support for Schools in Financial Difficulty	Prim & Sec	220,096	4.31
GDPR	Prim & Sec	307,929	6.03

6. High Needs Block

6.1 DSG Allocation

The DSG allocation for 2018/19 for the High Needs Block has been calculated via a national funding formula based 50% on proxy indicators and 50% on planned spend reported for 2017/18 plus 0.5%.

6.2 Funding for Special Schools and ARPs

There will be no significant changes to the funding arrangements for Special Schools in 2018/19. Where special schools have increased the number of places being offered this will be reflected in their budget allocation. The funding arrangements for ARPs will change as detailed in 4.3 above with the first £4k will be allocated via the school funding formula.

6.3 High Needs Pressures

The budget projections for 2018/19 reflect estimated costs and known developments identified at this stage. These include the full year effect of new developments

introduced in 2017/18 and the ongoing impact of pressures identified from monthly monitoring. The High Needs Contingency has been reduced to allow for increases in delegated and placement funding.

There continues to be significant risk in some areas of the high needs budget including

- Out of borough day and residential placements
- Placements in other LA special schools
- High needs support for post 16 pupils in FE provision
- New in borough developments Increase in exceptional needs provision in mainstream schools

A further update on the High Needs Block will be brought to the next meeting.

7. Early Years Block

7.1 DSG Allocation

A summary of the Early Year Block budget for 2018/19 is provided in Appendix B. A more detailed breakdown is shown in Table 5 below.

Table 6: Summary Early Years Block 2018/19

Jan 2017 Census	Pupil Nos	Initial 2018/19 DSG Allocation	Delegated to Providers	Centrally Held
		£	£	£
3&4YO – 15 Hours	5,350.4	17,139,471	16,282,497	856,974
3&4 YO - 30 Hours	1,702.4	5,453,468	5,180,795	272,673
2 Year Olds	1,253.0	4,042,429	4,042,429	0
EYPP		250,481	250,481	0
Disability Access Fund		69,495	69,495	0
TOTAL		26,955,344	25,825,697	1,129,647

It should be noted that the initial funding allocation for 2018/19 is based on January 2017 census data. A revised DSG allocation for the Early Years Block will be received in July 2018 which will reflect the January 2018 census data. Current projections of the January 2018 position indicate that numbers should be broadly in line with the January 2017 position but a more detailed analysis will be carried out when the Schools and Early Years Census have been completed so that we can anticipate the revised DSG allocation. Further updates will be brought to the Forum as soon as information is available.

7.2 3 and 4 Year Olds – 15 Hours Entitlement

The Early Years National Funding Formula (EYNFF) for 3 and 4 year olds was introduced with effect from 2017/18. Additional funding was provided over 2 years to support the implementation of the new formula which resulted in the DSG funding rate per hour increase from £4.16 in 16/17 to £5.28 in 17/18 and £5.62 in 18/19. The authority was required to delegate 93% of available funding to providers in 2017/18 and this has increased to 95% in 2018/19, ensuring that the majority of the funding increase is allocated to providers. The 5% centrally held funding is required for the management and support of Early Years provision.

The funding arrangements for 2018/19 continue on the same basis as those agreed for 2017/18 to meet national requirements. The key details for the 15 hours free entitlement for 2018/19 are as follows:

- £5.34 (95%) of the £5.62 DSG allocation to be passported to providers;
- Basic Hourly Rate - increases from £4.59 to £5.11 hourly rate per pupil;

- Deprivation Funding – £0.13 (2.5%) hourly rate per pupil of delegated funding with total funding being allocated on IDACI scores;
- Inclusion Fund - £0.10 (1.8%) hourly rate per pupil: following the recent consultation, the funding will be used for the Inclusion Panel to continue to assess and allocate applications from settings for additional support to meet the needs of individual pupils with SEND; and also enable the establishment of Area SENCOs (3FTEs) and educational psychologists (2FTEs) to provide targeted support for individual settings and pupils.
- Funding will continue to be adjusted on a termly basis to reflect actual pupil numbers.

7.3 3 and 4 Year Olds – 30 Hours Entitlement

With effect from September 2017, the Government extended the free nursery entitlement from 15 to 30 hours a week for working parents. The aim is to reduce the cost of childcare for working families and break down the barriers to work and enable parents to return to work or work more hours.

The initial 2018/19 DSG settlement is based on the estimated number of pupils taking up this extended entitlement and this will be adjusted to reflect actual numbers recorded on the January 2018 census. As with the funding for the 15 hours, the authority is required to delegate 95% of available funding to providers in 2018/19. Funding will be allocated to providers on the same basis as the 15 hours entitlement.

Many providers are offering the 30 hours provision and the authority's Early Years Team continues to work with providers to further develop this provision to meet increasing demand.

7.4 Early Years Pupil Premium

For 2018/19 the authority has received an allocation of £0.250m for the Early Years Pupil Premium (EYPP) which is the same as the final 2017/18 allocation. This funding is allocated to providers at a rate of £300 per eligible pupil who are taking up the 15 hours free entitlement.

7.5 2 Year Olds – 15 Hours Entitlement

There are no planned changes to the funding arrangements for Terrific Twos, which provides 15 hours free provision for deprived 2 year olds. With effect from September 2017 the funding rate was increased from £5.28 to £5.66 per hour so that the full funding received by the authority was passed on to providers. This arrangement will continue in 2018/19.

8. Risks and Uncertainties

The latest budget projections for 2018/19 are based on the information available at this time which includes data from the October dataset and our DSG allocation for 2018/19. Factors that may impact the final 2018/19 position include

- Final 2017/18 outturn position
- Any changes resulting from final checks of the school formula allocations
- Actual Rates estimates for 2018/19
- Final PFI Shortfall Allocations

Updates on these issues will be included in future reports to the Forum as soon as information becomes available.

Appendix B	
SCHOOLS BUDGET 2018/19	£
INCOME	£m
Schools Block - 5-16 year olds	255.797
Central Services Schools Block	2.972
Early Years Block	26.955
High Needs Block	43.699
TOTAL DSG	329.423
Post 16 pupils in Spec Schls & pupils in Spec Acad/ARPs (ESFA)	2.118
TOTAL RESOURCES	331.54100
EXPENDITURE	
SCHOOLS BLOCK	
Total Funding	255.797
0.5% Trf to High Needs	-1.279
Net Funding	254.518
Schools Delegated Formula Funding	253.718
Growth Fund-New Expansions, Ongoing Protection and Sept Adjustment	0.800
TOTAL SCHOOLS BLOCK EXPENDITURE	254.518
CENTRAL SERVICES SCHOOLS BLOCK (CSSB)	
Total Funding	2.972
Statutory Functions	2.058
Historic Commitments	0.914
TOTAL CSSB	2.972
EARLY YEARS BLOCK	
Total Funding	26.955
3 & 4 Year Allocations - PVI & Maintained	21.463
2 Year Old Allocations - PVI & Maintained	4.042
Early Years Central Functions	1.130
Early Years Pupil Premium	0.250
Disability Access Fund	0.069
TOTAL EARLY YEARS BLOCK	26.955
HIGH NEEDS BLOCK	
Block Allocation	43.699
0.5% transfer from Schools Block	1.279
Direct funding from ESFA	2.118
Total Funding	47.096
Delegated Funding - special schools & units, exceptional needs in mainstream	25.752
Placement Funding	14.736
Commissioned and Central Services	5.884
High Needs Contingency	0.724
TOTAL HIGH NEEDS BLOCK	47.096
TOTAL BUDGET	331.541

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UNIT RATES	Enfield Rates 2017/18		NFF Rates		NFF Rates incl ACA		UNIT RATES 2018/19		
	PRIM	SEC	PRIM	SEC	1.08204	*ACA	PRIM	SEC	Rate Applied
					PRIM	SEC			
Prim AWPU	3,433.65	-	2,746.99	-	2,972.35	-	3,213.34	-	50% NFF
KS3 AWPU	-	4,345.37	-	3,862.65	-	4,179.54	-	4,272.80	50% NFF
KS4 AWPU	-	4,913.12	-	4,385.81	-	4,745.62	-	4,839.71	50% NFF
FSM	1,514.27	1,971.00	440.00	440.00	476.10	476.10	995.18	1,223.55	50% NFF
FSM Ever 6	-	-	540.00	785.00	584.30	849.40	350.58	509.64	60% NFF
IDACI A	116.04	100.70	575.00	810.00	622.17	876.45	419.72	566.15	60% NFF
IDACI B	115.10	65.46	420.00	600.00	454.46	649.22	318.72	415.72	60% NFF
IDACI C	-	50.35	390.00	560.00	422.00	605.94	253.20	383.71	60% NFF
IDACI D	-	-	360.00	515.00	389.53	557.25	233.72	334.35	60% NFF
IDACI E	-	-	240.00	390.00	259.69	422.00	155.81	253.20	60% NFF
IDACI F	-	-	200.00	290.00	216.41	313.79	129.84	188.27	60% NFF
Low Prior Attainm	704.90	996.48	1,050.00	1,550.00	1,136.14	1,677.16	963.65	1,404.89	60% NFF
EAL	395.75	1,202.19	515.00	1,385.00	557.25	1,498.63	476.50	1,350.41	50% NFF
Mobility	553.36	1,107.70	-	-	-	-	553.36	1,107.70	Enf Rates
LAC	1,208.40	1,208.40	-	-	-	-	808.40	808.40	Reduce 1/3
Split Site	55,000.00	164,086.00	-	-	55,000.00	164,086.00	55,000.00	164,086.00	Enf Rates
Lump Sum	162,000.00	162,000.00	110,000.00	110,000.00	119,024.40	119,024.40	140,512.20	140,512.20	50% NFF

*ACA - Area Cost Adjustment

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MUNICIPAL YEAR 2017/2018 REPORT NO. 25**MEETING TITLE AND DATE:**

Schools Forum – 17 January 2018

REPORT OF:

Executive Director of Children's Services

Contact officer name and email: Sangeeta Brown

Sangeeta.brown@enfield.gov.uk

Item: 5c

**Subject: Scheme for Financing
Maintained Schools – 2018/19: Update****1. EXECUTIVE SUMMARY**

The Schools Forum is advised that there no significant changes proposed to the Scheme for Financing.

2. RECOMMENDATIONS

The Forum is asked to note the contents of this report.

3. INTRODUCTION

- 3.1 The Local Authority is required to agree and publish annually a Scheme for Financing Maintained Schools. The Scheme aims to cover the financial relationship between maintained schools and the Local Authority.
- 3.2 Officers have reviewed the current Scheme and Forum Members are advised that no significant amendments are proposed for the Scheme for 2018/19, other than maintained schools being advised of the changes to the EU procurement thresholds.

4. UPDATES

- 4.1 Following the Forum discussion on retention of surplus balances held by maintained schools, consideration was given as to whether an amendment to the Scheme was required to reflect the Forum recommendation for the Education Resources Group to be involved in the assessment carried out by the Authority on the retention of surplus balances. The review has highlighted this proposal does not require an amendment to the Scheme, but would be addressed as part of the Authority's processes for assessing retention of surplus balances.
- 4.2 Although the procurement thresholds detailed in the Scheme for Financing do not highlight the EU thresholds, schools are required to be aware of these thresholds and, where affected, ensure any procurement for goods and services is in line with the latest EU thresholds.

The EU thresholds for tendering have been revised to reflect the latest currency rates for the Pound against the Euro and the new thresholds are as follows:

- Goods & Services: £181,302
- Works £4,551,413
- Light Touch Regime for Services: £615,278

- 4.3 Schools will be advised of revisions to the EU thresholds.

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MUNICIPAL YEAR 2017/2018 – REPORT NO. 26**MEETING TITLE AND DATE:**

Schools Forum – 17 January 2018

REPORT OF:

Executive Director of Children's Services

Contact officer: Sangeeta Brown

E-mail: sangeeta.brown@enfield.gov.uk**Recommendation**

To note the workplan.

Agenda – Part: 1	Item: 6
Subject: Schools Forum: Workplan	
Wards: All	

<u>Meetings</u>		<u>Officer</u>
March 2017	School Budget 2017/18: Update SEND & High Needs – Update School Academy Transfers – Contribution towards Costs Scheme for Financing Schools & High Needs NFF - Draft Response	LM JC SB SB SB
June 2017	School Budget 2016/17 Outturn: Update Pupils with High needs in Mainstream Schools Dedicated Schools Grant – 2017/18: Analysis Schools Balances – Update	LM SB SB SB
September 2017	School Balances – 2016/17: Update Schools Budget: 2017/18 – Monitoring High Needs Review: Update School Funding Arrangements (2018/19) Annual Audit – Update	SB SB SB SB JC
November 2017	Schools Budget – Update (2017/18) School Funding Arrangements (2018/19)	LM SB
December 2017	Schools Budget: 2018/19: Update, Inc. De-delegation School Funding Arrangements (2018/19) Central Services Budgets DfE Consultation: Eligibility for FSM under Universal Credit	LM SB JC SB
January 2018	Local Authority Funding – Leader to attend Schools Budget: 2017/18 – Monitoring Schools Budget: 2018/19: Update Scheme for Financing - Revisions	JC LM LM SB
March 2018	Schools Budget: 2018/19: Update High Needs Places	LM SB
May / June 2018	Single Item Agenda - TBC	
July 2018	Schools Budget – Update (2017/18) School Funding Review (2017/18) Funding Arrangements (2019/20)	LM SB SB

Dates of Meetings

Date	Time	Venue	Comment
15 September 2017	2.00 - 3.00PM	Chace Community	With B Charalambous, MP
20 September 2017	5:30 - 7:30 PM	Chace Community	
06 November 2017	5:30 - 7:30 PM	Chace Community	
13 December 2017	5:30 - 7:30 PM	Chace Community	
17 January 2018	5:30 - 7:30 PM	Chace Community	
07 March 2018	5:30 - 7:30 PM	Chace Community	
09 May 2018	5:30 - 7:30 PM	Chace Community	
11 July 2018	5:30 - 7:30 PM	Chace Community	

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